

PIPER RUDNICK LLP

1200 NINETEENTH STREET, NW WASHINGTON, DC 20036-2412

TELEPHONE: 202-861-3900 FACSIMILE: 202-223-2085

¿DOCKET NO.: 3858-008-27

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

Re: Serial No.: 10/676,779

Applicant(s): Richard J. ROBY, et al.

Filing Date: October 2, 2003

For: METHOD AND APPARATUS FOR INDICATING ACTIVATION OF

A SMOKE DETECTOR ALARM

Group Art Unit:

Examiner:

SIR:

Attached hereto for filing are the following papers:

Information Disclosure Statement PTO-1449
Cited Documents (20)

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Steven B. Kelber

PIPER RUDNICK

Attorney of Record

Registration No.: 30,073

James M. Heintz

Registration No.: 41,828

PIPER RUDNICK LLP



1200 NINETEENTH STREET, NW WASHINGTON, DC 20036-2412 TELEPHONE: 202-861-3900

FACSIMILE: 202-223-2085

DOCKET NO.: 3858-008-27

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

Re:

Serial No.: 10/676,779

Applicant(s): Richard J. ROBY, et al.

Filing Date: October 2, 2003

For: METHOD AND APPARATUS FOR INDICATING ACTIVATION OF

A SMOKE DETECTOR ALARM

Group Art Unit:

Examiner:

SIR:

Attached hereto for filing are the following papers:

Information Disclosure Statement PTO-1449
Cited Documents (20)

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber Attorney of Record

Registration No.: 30,073

James M. Heintz

Registration No.: 41,828

Docket'No.: 3858-008-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION:

Richard J. ROBY, et al.

GROUP ART UNIT:

SERIAL NUMBER:

10/676,779

EXAMINER:

FILED:

October 2, 2003

FOR:

METHOD AND APPARATUS FOR INDICATING ACTIVATION OF A SMOKE DETECTOR ALARM

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents PO BOX 1450 **ALEXANDRIA, VA 22313-1450**

Sir:

Applicant(s) wish(es) to disclose the following information.

Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents.

RELATED CASES

Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

- without fee and within three months of the filing date of the application.
- without fee and within three months of the date of entry of the U.S. national stage.
- without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
- without fee and with the appropriate certification above.
- without fee and with a new CPA application.
- without fee and with a Request for Continued Examination.
- with fee and before the mailing date of any of a Final Office Action, Notice of Allowance or an action that otherwise closes prosecution (to the knowledge of the undersigned).
- with fee, appropriate certification above, and before payment of the Issue Fee.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

Respectfully submitted,

PIPER RUDNICK I

Steven B. Kelber Attorney of Record Registration No. 30,073

James M. Heintz

Registration No.: 41,828

1200 Nineteenth Street, N.W. Washington, DC 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE				DOCKET NO.		SERIAL NO.			
(Modified) PATENT AND TRADEMARK				3858-008-27		10/676,779			
P DINEICE			APPLICANT						
DES 1 6 2003 (2)				Richard J. ROBY, et al.					
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				FILING DATE		GROUP ART UNIT			
				October 2, 2003					
			U	.S. PATENT DOCUMENTS		<u> </u>		-	
EXAMINER INITIAL		DOCUMĖ NT NUMBER	DATE	NAME	CLASS	SUB CLASS	1	DATE IF PRIATE	
	AA	4,220,857	9/2/1980	C. BRIGHT					
	AB	4,227,191	10/7/1980	S. RABER			_		
	AC	4,250,500	2/10/1981	O. SCHADE					
	AD	4,260,981	4/7/1981	Y. YAMAUCHI, et al.					
	AE	4,287,509	9/1/1981	D. BEGGS					
	AF	4,365,238	12/21/1982	A. KOLLIN					
	AG	4,380,759	4/19/1983	J. SULKOSKI, et al.					
	AH	4,438,428	3/20/1984	J. OBER, et al.					
	AI	4,777,474	10/11/1988	J. CLAYTON					
	AJ	4,853,674	8/1/1989	M. KISS					
	AK	5,012,223	4/30/1991	C. GRIEBELL, et al.					
	AL	5,651,070	7/22/1997	T. BLUNT					
	AM	5,666,331	9/9/1997	A. KOLLIN					
	AN	5,686,884	11/11/1997	D. LARKIN					
	AO	5,691,703	11/25/1997	R. ROBY, et al.					
	AP	5,790,050	8/4/1998	P. PARKER				_	
	AQ	5,867,105	2/2/1999	W. HAJEL					
			FOR	EIGN PATENT DOCUMENTS			·		
		DOCUME NT NUMBER	DATE	COUNTRY	COUNTRY			TRANSLATION YES NO	
	AR								
		OTHER I	REFERENCES	(Including Author, Title, Date, Pert	inent Pages, I	Etc.)			
	AS								
EXAMINER				DATE CONSIDERED					
*EXAMINER: if not in confor	Initial mance a	if reference is on the individual in its individ	considered, whe ed. Include cop	ther or not citation is in conformance of this form with next communication.	ce with MPEF ation to Appli	609; draw	line throug	h citation	

									
Form PTO 1449 U.S. DEPARTMENT OF			DOCKET NO.	SERIAL NO.					
COMMERCE (Modified) PATENT AND TRADEMARK			3858-008-27	10/676,779					
OFFICE O \ P				APPLICANT					
DEC 1 6 2003 (S)				Richard J. ROBY, et al.					
LIST OF REFERENCES CITED BY APPLICANT (Use Several Sheets if Necessary)				FILING DATE		GROUP ART UNIT			
				October 2, 2003					
			U	S. PATENT DOCUMENTS		<u> </u>			
EXAMINER		DOCUME NT	DATE	NAME	CLASS	SUB		DATE IF	
INITIAL		NUMBER				CLASS	APPRO	PRIATE	
	AT	5,917,420	6/19/1999	A. GONZALEZ					
	AU	5,990,797	11/23/1999	E. ZLOTCHENKO, et al.					
	AV	6,094,134	7/25/2000	M. COHEN	ļ				
-	AW								
	AX								
	AY								
	AZ								
	BA								
	ВВ			`					
	BC								
	BD								
	BE								
	BF								
	BG								
	вн								
	BI			-					
	ВЈ								
			FOR	EIGN PATENT DOCUMENTS					
		DOCUME NT NUMBER	DATE	COUNTR	COUNTRY			TRANSLATION YES NO	
	BK								
		OTHER R	REFERENCES ((Including Author, Title, Date, Pert	inent Pages, E	tc.)	_		
	BL		· · · · · · · · · · · · · · · · · · ·						
EXAMINER			# <u>+</u>		DATE CONSIDERED				
				ther or not citation is in conforman			line throug	h citation	
if not in confor	mance a	nd not consider	ed. Include cop	y of this form with next communic	ation to Appli	cant.			